



Practitioner's Docket No. 2003-IP-010580U1

(fw)
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application

of _____ Inventor(s) _____

for _____ Title of invention _____

OR

In re application of: Philip D. Nguyen

Application No.: **0 10 / 655,883**

Filed: **09/05/03**

For: Methods for Forming a Permeable and Stable Mass in a Subterranean Formation

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Group Art Unit:

Examiner:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))**

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

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37 C.F.R. § 1.8(a)

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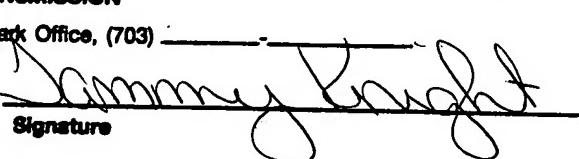
37 C.F.R. § 1.10 *

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TRANSMISSION

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Date: 12/1/04


Signature

Tammy Knight

(type or print name of person certifying)

* Only the date of filing (§ 1.8) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.8(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [8-3]—page 1 of 3)

NOTE: 37 C.F.R. 1.98(b):

- (1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date.
- (2) Each U.S. patent application publication listed in an information disclosure statement shall be identified by applicant, patent application publication number, and publication date.
- (3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.
- (4) Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application.
- (5) Each publication listed in an information disclosure statement must be identified by publisher, author (if any), title, relevant pages of the publication, date, and place of publication.

WARNING: No extension of time can be had under 37 C.F.R. § 1.138 (a) or (b) for filing an IDS. 37 C.F.R. § 1.97(f).

NOTE: The "filing date of a national application" under 37 C.F.R. § 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, the filing is defined in 37 C.F.R. § 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. § 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the basic national fee; (2) a copy of the international application, unless already sent by the International Bureau, and optionally an English translation if filed in another language; and, also optionally (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. The optional items must be submitted later, with surcharges. 37 C.F.R. § 1.97(b)(2).

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."

NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An Office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39). See also § 609, M.P.E.P., 8th Edition.

NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuations-in-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. § 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.



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(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [8-3]—page 3 of 3)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Philip D. Nguyen) Art Unit: Unknown
Serial No.: 10/655,883)
Filed: 09/05/2003) Examiner: Unknown
For: Methods for Forming a)
Permeable and Stable Mass)
In a Subterranean)
Formation)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

COMMISSIONER FOR PATENTS
Alexandria, VA 22313-1450

SIR:

The following documents are known to Applicants or Applicants' attorneys and are submitted for the Examiner to consider in the above-captioned application.

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U.S. Patent Number 2,703,316 issued 03/01/55 to Bentley J. Palmer;

U.S. Patent Number 3,272,650 issued 09/13/66 to Russell L. MacVittie;

U.S. Patent Number 3,784,585 issued 01/08/74 to Edward Emil Schmitt, et al;

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U.S. Publication Number 2004/0014607 A1 published 01/22/04 by A. Richard Sinclair, et al;

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Int'l Publication Number WO 03/027431 A3 published 04/03/03 by Claude E. Cooke, Jr.;
Int'l Publication Number WO 04/037946 A1 published 05/06/04 by Dean Willberg, et al;
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Controlling Particulates by Matt Blauch, et al;

Patent Application Number 10/864,618 filed 06/09/04, *Aqueous-Based Tackifier Fluids and Methods of Use* by Matt Blauch, et al.

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SurgiFracSM Service, A Quick and Cost-Effective Method to Help Boost Production From Openhole Horizontal Completions, HO3297, published 2002 Halliburton Communications;

Cobra FracSM Service, Coiled Tubing Fracturing—Cost-Effective Method for Stimulating Untapped Reserves HO2319R, published 2000 Halliburton Energy Services, Inc;

CobraJet FracSM Service, Cost-effective Technology That Can Help Reduce Cost Per BOE Produced, Shorten Cycle Time and Reduce Capex published Halliburton Communications.

Copies of the aforementioned non-patent references and Form PTO-1449 are submitted herewith.

Respectfully submitted,



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PTO-1449

DEC 03 2004

**Information Disclosure Citation in an
Application**

Application No.
10/655,883Applicant(s)
Philip D. NguyenDocket Number
2003-IP-010580U1

Group Art Unit

Filing Date
09/05/2003

U.S. PATENT DOCUMENTS

	DOCUMENT NO.	ISSUE/ PUB. DATE	NAME	CLASS	SUBCLASS	FILING DATE
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	2,703,316	03-01-55	Palmer	260	78.3	06-05-51
	3,272,650	09-13-66	MacVittie	134	7	02-21-63
	3,784,585	01-08-74	Schmitt <i>et al.</i>	260	861	10-21-71
	3,819,525	06-25-74	Hattenbrun	252	132	08-21-72
	3,828,854	08-13-74	Templeton <i>et al.</i>	166	307	10-30-73
	3,868,998	03-04-75	Lybarger <i>et al.</i>	166	278	05-15-74
	3,912,692	10-14-75	Casey <i>et al.</i>	260	78.3	09-24-74
	3,948,672	04-06-76	Harnsberger	106	90	09-26-74
	3,955,993	05-11-76	Curtice	106	90	09-26-74
	3,960,736	06-01-76	Free <i>et al.</i>	252	8.55R	06-03-74
	4,169,798	10-02-79	DeMartino	252	8.55R	10-25-77
	4,172,066	10-23-79	Zweigle <i>et al.</i>	260	29.6TA	09-26-77
	4,387,769	06-14-83	Erbstoesser <i>et al.</i>	166	295	08-10-81
	4,460,052	07-17-84	Gockel	175	72	08-10-81
	4,470,915	09-11-84	Conway	252	8.55R	09-27-82
	4,498,995	02-12-85	Gockel	252	8.5LC	07-01-83
	4,526,695	07-02-85	Erbstoesser <i>et al.</i>	252	8.55R	02-04-83
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	4,715,967	12-29-87	Bellis	252	8.551	12-27-85
	4,716,964	01-05-88	Erbstoesser <i>et al.</i>	166	284	12-10-86
	4,785,884	11-22-88	Armbruster	166	280	01-28-88
	4,797,262	01-10-89	Dewitz	422	142	06-03-87
	4,809,783	03-07-89	Hollenbeck <i>et al.</i>	166	307	01-14-88
	4,843,118	06-27-89	Lai <i>et al.</i>	524	555	06-19-87

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

PTO-1449 Information Disclosure Citation in an Application		Application No. 10/655,883	Applicant(s) Philip D. Nguyen			
		Docket Number 2003-IP-010580U1	Group Art Unit	Filing Date 09/05/2003		
U.S. PATENT DOCUMENTS						
	DOCUMENT NO.	ISSUE/PUB. DATE	NAME	CLASS	SUBCLASS	FILING DATE
	4,848,467	07-18-89	Cantu <i>et al.</i>	166	281	02-16-88
	4,886,354	12-12-89	Welch <i>et al.</i>	356	70	05-06-88
	4,957,165	09-18-90	Cantu <i>et al.</i>	166	295	06-19-89
	4,961,466	10-09-90	Himes <i>et al.</i>	166	250	01-23-89
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	4,986,355	01-22-91	Casad, <i>et al.</i>	166	295	05-18-89
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	5,249,628	10-05-93	Surjaatmadja	166	305	09-29-92
	5,295,542	03-22-94	Cole, <i>et al.</i>	166	278	10-05-92
	5,325,923	07-05-94	Surjaatmadja, <i>et al.</i>	166	308	09-30-93
	5,330,005	07-19-94	Card, <i>et al.</i>	166	280	04-05-93
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	5,386,874	02-07-95	Laramay, <i>et al.</i>	166	300	11-08-93
	5,396,957	03-14-94	Surjaatmadja, <i>et al.</i>	166	308	03-04-94
	5,439,055	08-08-95	Card, <i>et al.</i>	166	280	03-08-94
	5,460,226	10-24-95	Lawton, <i>et al.</i>	166	300	05-18-94
	5,464,060	11-07-95	Hale, <i>et al.</i>	166	293	04-12-94
	5,497,830	03-12-96	Boles, <i>et al.</i>	166	300	04-06-95
	5,499,678	03-19-96	Surjaatmadja, <i>et al.</i>	166	298	08-02-94
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	Docket Number 2003-IP-010580U1	Group Art Unit	Filing Date 09/05/2003	

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	5,512,071	04-30-96	Yam, <i>et al.</i>	51	307	02-25-94
	5,591,700	01-07-97	Harris, <i>et al.</i>	507	204	12-22-94
	5,604,186	02-18-97	Hunt, <i>et al.</i>	507	204	02-15-95
	5,670,473	09-23-97	Scepanski	510	445	06-06-95
	5,698,322	12-16-97	Tsai, <i>et al.</i>	428	373	12-02-96
	5,765,642	06-16-98	Surjaatmadja	166	297	12-23-96
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	5,833,000	11-10-98	Weaver, <i>et al.</i>	166	276	02-18-97
	5,853,048	12-29-98	Weaver, <i>et al.</i>	166	279	04-21-98
	5,893,416	04-13-99	Read	166	304	11-28-97
	5,908,073	06-01-99	Nguyen, <i>et al.</i>	166	276	06-26-97
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	5,964,291	10-12-99	Bourne, <i>et al.</i>	166	279	02-28-96
	6,004,400	12-21-99	Bishop, <i>et al.</i>	134	2	07-09-97
	6,024,170	02-15-00	McCabe, <i>et al.</i>	166	300	06-03-98
	6,028,113	02-22-00	Scepanski	514	643	09-27-95
	6,047,772	04-11-00	Weaver, <i>et al.</i>	166	276	11-09-98
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	6,209,643 B1	04-03-01	Nguyen, <i>et al.</i>	166	276	03-06-00
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